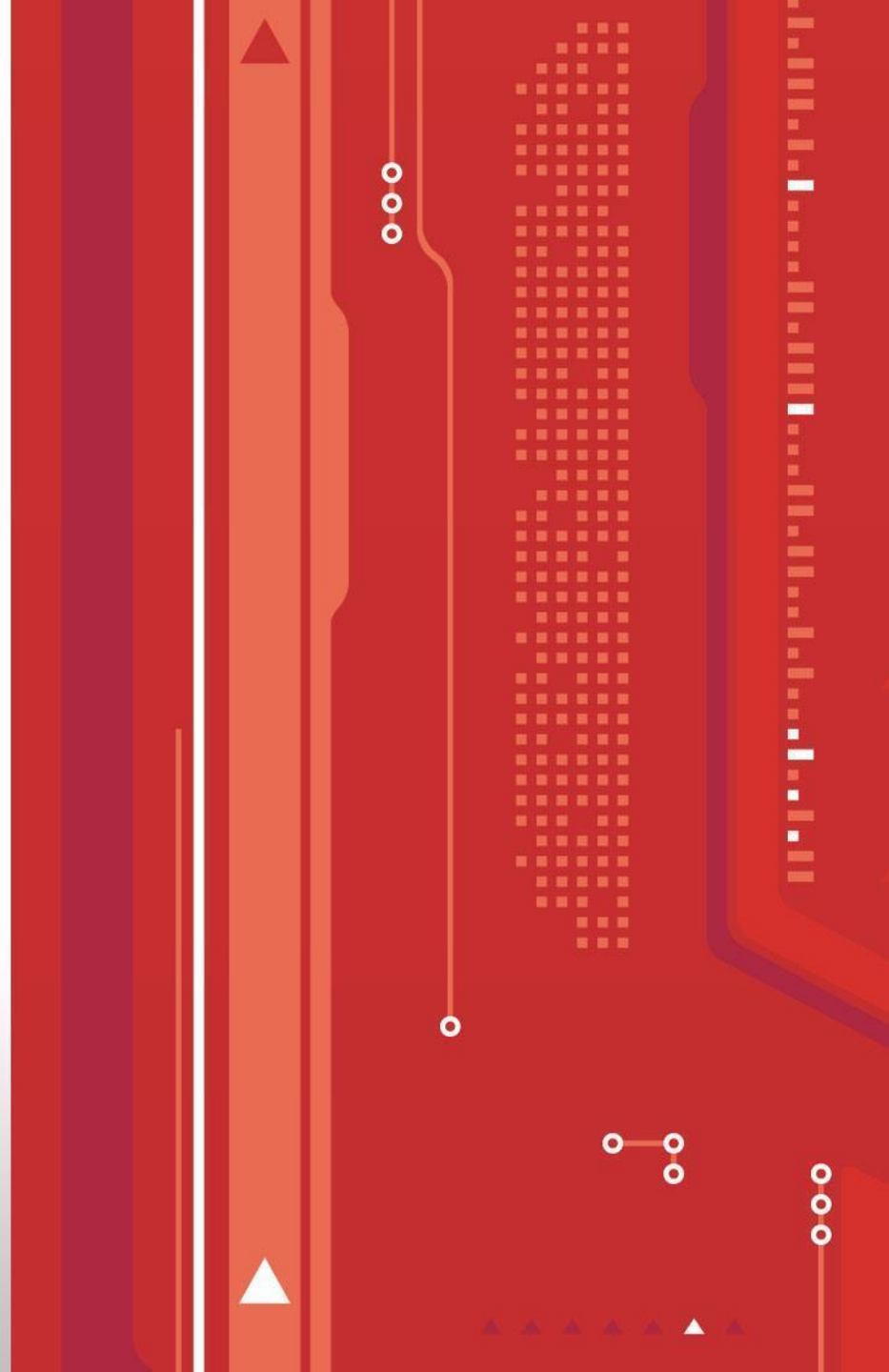


# SPP Antitrust Guidelines



# Prohibited Discussions

- Pricing information, especially margin (profit) and internal cost.
- Information and participants' expectations as to their future prices or internal costs.
- Participant's marketing strategies.
- How customers and geographical areas are to be divided among competitors.
- Exclusion of competitors from markets.

## Prohibited Discussions (cont.)

- **Boycotting or group refusals to deal with competitors, vendors or suppliers.**
- **No decisions should be made nor any actions taken during SPP activities for the purpose of giving an industry participant or group of participants a competitive advantage over other participants.**

# Permitted Discussions

- **Reliability matters relating to the bulk power system, including operation and planning matters such as establishing or revising reliability standards, special operating procedures, operating transfer capabilities, and plans for new facilities.**
- **Matters relating to the impact of reliability standards for the bulk power system on electricity markets, and the impact of electricity market operations on the reliability of the bulk power system.**

## Permitted Discussions (cont.)

- **Proposed filings or other communications with state or federal regulatory authorities or other governmental entities.**
- **Matters relating to the internal governance, management and operation of SPP, such as nominations for vacant committee positions, budgeting and assessments.**
- **Procedural matters, such as planning and scheduling meetings.**
- **Any other matters that do not clearly fall within these guidelines should be reviewed with SPP's General Counsel before being discussed.**



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