Procedures for Sale or Assignment of Transmission Service on OASIS

<u>Resale</u>

Description:

A Resale is a request to convey scheduling rights associated with a reservation from a Reseller (*the transmission customer (TC) that holds transmission service rights and offer those rights for sale on the secondary transmission market*) to an Assignee (*an eligible customer that receives point-to-point transmission service rights from a Reseller either through a Resale or a Transfer*). An Assignee must be an Xcel Energy Joint Open Access Transmission Tariff (OATT) customer before being granted transmission service as an assignee. If a prospective assignee is not a customer under the Joint OATT, the assignee must submit the appropriate application for transmission service under the Xcel Energy Joint OATT and be accepted prior to the resale or assignment being approved.

Rights Conveyed:

Upon confirmation of a Resale on OASIS, the Reseller loses those conveyed scheduling rights for the time frame and in the amount of the Resale.

Service Attributes and Timings:

A Resale must retain all the same transmission service attributes, transmission service priority, and points of delivery and receipt of the Parent Reservation. The Reseller have the right to aggregate multiple reservations into a single Resale provided that each reservation being aggregate is of the same service attribute, priority, product and point of receipt/point of delivery. A Resale must be in whole hours, beginning at the top of the hour, and within the start stop time(s) of the Parent Reservation(s).

Quantity:

A Resale must have MWs equal to or less than the Granted Capacity of the Parent Reservation(s), less any reductions (e.g. confirmed Redirects, previous Resales, curtailments, or implemented Schedules) to the capacity available for scheduling of that Parent Reservation. A Reseller or Transmission Customer (TC) can resell its CONFIRMED transmission using either the Transassign or Post for Resale.

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<u>Transassign</u>

Description:

A Transassign is a process which provides a method for the Reseller or Transmission Customer (TC) to inform the Transmission Provider (TP) and document the secondary market RESALE that occurred off-OASIS to another TC. The TP can also use the Transassign feature to document off-OASIS transactions. After successful creation of transassign request, a new Assignment Reference number will be assigned. The Transassign Entry page can be accessed from the Reservation Summary, Transmission Detail and TSR Entry displays via the Transassign button. The Transassign Entry Form is similar to the New TSR Creation, except that it is used to document the off-OASIS sale following the RESALE criteria. A transassign request may be created from an existing request, or from a blank form.

From an Existing Request (TSR):

When creating Transassign request from the existing request; the Transassign Entry Form is pre-populated with Provider, Seller, Source, Sink, POR, POD, Request Type, Start, Stop and MW values.

From a Blank Form:

The Transassign request can be started from a blank entry page by clicking the Transassign button on the Reservation Summary.

Adding Reassignments:

Reassignments are used to account for the capacity of the transassign request. The sum total of the reassignments should equal the total MW capacity granted of the reservation. Reservations are eligible for reassignment based upon the following criteria:

- The Start and Stop Time(s) must be within the Start and Stop of the parent(s)
- The Transmission Service must be set to Allow Resale
- The Path or POR/POD if there is no Path must match the parent
- The Transmission Service must be the same as the parent

To add a reassignment, click the Add/Edit reassignment button. A new window will open up with a listing of eligible reservations. Click Add Row to add rows to the form or delete row to remove rows. Using the Reassignment display the Reseller can aggregate multiple available reservations into a single Resale.

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Post for Resale

Description:

A "Post for Resale" button is available on the Transmission Detail Display to quickly create a Resale Posting that is pre-filled with the parent reservation's information. This Post for Resale option is available only for the Reseller or TC with a CONFIRMED reservation to quickly select TSRs to post for resale. During the building of the Reservation Profile, the Transmission Resale Posting Display validate each segment against the Parent TSR's time range and available capacity, to ensure that the Reseller does not post ATC greater than the reservation they own. In addition to that there is also a final check on submission of the form to make sure that the capacity of a given parent reservation is not posted for resale multiple times on nonassociated Resale ATC Offerings. Resellers or TC may identify their service offerings received from Customers through the Seller supplied value specified for the SALE_REF data element.