SMUD®

June 21, 2018

MARKET FUNCTION EMPLOYEES AND AFFILIATES

PURPOSE:

The Sacramento Municipal Utility District (SMUD) *Standards of Conduct (SOC) Concerning Open Access Transmission Tariff (OATT) Services*, section 2.2.1 states "SMUD shall post the names and addresses of SMUD's Marketing Function Employees and any Affiliates on its OASIS."

SMUD MARKET FUNCTION EMPLOYEES[1]:

Dennis Holcomb	**6301 S Street, Sacramento, CA 95817-1899 MS A404**
Jeff Giannini	**6301 S Street, Sacramento, CA 95817-1899 MS A404**
Henry Munoz	6301 S Street, Sacramento, CA 95817-1899 MS A404
Derek Hembree	6301 S Street, Sacramento, CA 95817-1899 MS A404
Scott Cha	6301 S Street, Sacramento, CA 95817-1899 MS A404
Skip Jackson-Jarvis	6301 S Street, Sacramento, CA 95817-1899 MS A404
Clayton Luu	6301 S Street, Sacramento, CA 95817-1899 MS A404
Justin Coldiron	6301 S Street, Sacramento, CA 95817-1899 MS A404
Michael Ta	6301 S Street, Sacramento, CA 95817-1899 MS A404
Samuel Cardwell	6301 S Street, Sacramento, CA 95817-1899 MS A404
Kelly Goodson	6301 S Street, Sacramento, CA 95817-1899 MS A404
Thomas Johnson (TJ)	6301 S Street, Sacramento, CA 95817-1899 MS A404
William Hochstetler	**6301 S Street, Sacramento, CA 95817-1899 MS A404**
Brandon Cager	6301 S Street, Sacramento, CA 95817-1899 MS A404
Aaron Weltmer	6301 S Street, Sacramento, CA 95817-1899 MS A404
Michael Troth	6301 S Street, Sacramento, CA 95817-1899 MS A404
Ron Logsdon	**6301 S Street, Sacramento, CA 95817-1899 MS A404**
Richard Hollar	6301 S Street, Sacramento, CA 95817-1899 MS A404
Deb Claus	6301 S Street, Sacramento, CA 95817-1899 MS A404
Jennifer Volz	6301 S Street, Sacramento, CA 95817-1899 MS A404
Debra Warady	**6301 S Street, Sacramento, CA 95817-1899 MS A404**
David Yomogida	6301 S Street, Sacramento, CA 95817-1899 MS A404

[1] Not all employees on this list meet the strict definition of a Market Function Employee as they do not sell power related products on a day-to-day basis; however, they do hold a delegation of authority to do so.

Christine French	6301 S Street, Sacramento, CA 95817-1899 MS A404
Chaise Vidal	6301 S Street, Sacramento, CA 95817-1899 MS A404
Megan Simone	6301 S Street, Sacramento, CA 95817-1899 MS A404
Julie Hall	**6301 S Street, Sacramento, CA 95817-1899 MS A404**
Lisa Dawson	6301 S Street, Sacramento, CA 95817-1899 MS A404

SMUD MERCHANT AFFILIATES:

SMUD Energy Trading & Contracts	6301 S Street, Sacramento, CA 95817-1899 MS A404

DEFINITIONS -- SMUD Standards of Conduct:

1.1 Affiliate. This term means another person that controls, is controlled by or is under the common control with SMUD. An affiliate includes a division that operates as a function unit.

1.3 Control (including the terms "controlling", "controlled by", and "under common control with"). This term includes, but is not limited to, the possession, directly or indirectly and whether acting alone or in conjunction with other, of the authority to direct or cause the direction of the management or policies of a company. A voting interest of 10% or more creates a rebuttable presumption of control.

1.4 Market Functions. This term means the sale for resale in interstate commerce, or the submission of offers to sell in interstate commerce, of electric energy or capacity, demand response, virtual transactions, or financial or physical transmission rights, all as subject to an exclusion for bundled retail sales, including sales of electric energy made by providers of last resort (POLRs) acting in their POLR capacity.

1.5 Marketing Function Employee. This term means an employee, contractor, consultant or agent of a transmission provider or of an affiliate of a transmission provider who actively and personally engages on a day-to-day basis in marketing functions.